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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PETITION ROUTING SLIP

(Find the petition from the list and check the box in the heading above the petition)

Complete if Known

Application Number	09/469,505
Filing Date	December 22, 1999
First Named Inventor	Robert J. Stone et al
Group Art Unit	2787
Examiner Name	Not assigned
Attorney Docket Number	UUN99006

☒ PETITIONS DECIDED BY PETITIONS OFFICE

- | | |
|--|---|
| 301 Relating to Public Use Proceedings (37 CFR 1.292) | 503 To waive/suspend rules (37 CFR 1.183) |
| 302 To make application special - prospective manufacture (37 CFR 1.102, MPEP 708.02) | 504 To invoke supervisory authority - re patent examining operations (37 CFR 1.181) |
| 303 To make special - infringement (37 CFR 1.102, MPEP 108.02) | 505 To withdraw from issue after payment of issue fee (37 CFR 1.313(b)(1-4)) |
| 304 Relating to the Filing/Issuance of Divisional Reissue (37 CFR 1.177) | 506 To withdraw from issue after payment of issue fee (37 CFR 1.313(b)) or abandon application in favor of continuing application |
| 305 To waive or suspend rules (37 CFR 1.183) | 507 To enter priority papers after Issue Fee payment (37 CFR 1.55(a)) |
| 306 To expunge a paper from patent application or patent file (37 CFR 1.59) | 508 To defer issuance of patent (37 CFR 1.314) |
| 307 Withdrawal of Attorney (37 CFR 1.36) | 515 To invoke supervisory authority - re Office of Admin. (37 CFR 1.181) |
| 308 For access to application except re proceedings before Board (37 CFR 1.14, MPEP 103.104) | 516 To waive/suspend rules re patent matters in Office of Admin. (37 CFR 1.183) |
| 309 Relating to Small Entity (37 CFR 1.28) | 519 To decide matters before Deputy A/C for Patents under 37 CFR 1.182 |
| 310 Relating to reexamination (37 CFR 1.181-1.183) | 521 To review refusal to accept & record maintenance fee - application filed on or after 8/27/82 (37 CFR 1.377) |
| 311 For correction of inventorship for applications - no filing date (37 CFR 1.48) | 523 To issue patent in the name of the Assignee (37 CFR 1.334(c)) |
| 312 For correction of inventorship re PCT applications (37 CFR 1.48) | 525 To withdraw a holding of abandonment (37 CFR 1.181) |
| 313 For filing application without one or more inventors (37 CFR 1.47) | 526 To order a Commissioner-initiated Reexamination proceeding (37 CFR 1.520) |
| 314 For filing PCT application without one or more inventors (37 CFR 1.47) | 527 To convert Provisional Application |
| 315 For extension of time without fee in cases in Application Division (37 CFR 1.136(b)) | 528 To reinstate abandoned Provisional Application |
| 399 For matters before A/C for Patents - not specified | 530 PCT petition-unavoidable |
| 408 Relating to a filing date under 35 USC 111 & 37 CFR 1.53 | 531 PCT petition-unintentional |
| 411 Filing date for application filed by Express Mail (37 CFR 1.10) | 532 To accept unavoidably delayed payment of maintenance fee (37 CFR 1.378 (b)) |
| 412 Filing date for lost application | 533 To accept unintentionally delayed payment of maintenance fee (37 CFR 1.378 (c)) |
| 501 To revive an abandoned application - unavoidable delay (37 CFR 1.137(a)) | 534 Petitions related to reexamination proceedings |
| 502 To revive an abandoned application - unintentional abandonment (37 CFR 1.137(b)) | 599 For matters before the Deputy A/C for Patents - not specified |

☐ PETITIONS DECIDED BY THE GROUP DIRECTOR

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| 601 To make application special on ground of age or health (37 CFR 1.102, MPEP 708.02) | 618 For concurrent Ex parte and Inter parte proceedings (37 CFR 1.212) |
| 602 To make special - continuity of earlier application (37 CFR 1.102, MPEP 708.02) | 619 For return of original oath of patent application (MPEP 604.04(a)) |
| 603 To make special - environment quality program (37 CFR 1.102, MPEP 708.02) | 620 For extension of time (37 CFR 1.136(b)) |
| 604 To make special - accelerated examination (37 CFR 1.102, MPEP 708.02) | 621 For interview after Notice of Allowance mailed (MPEP 713.10) |
| 605 To make special - Energy Program (37 CFR 1.102, MPEP 708.02) | 622 Concerning appeal application before transfer of jurisdiction to Board (MPEP 1206) |
| 606 To make special - Recombinant DNA (37 CFR 1.102, MPEP 708.02) | 623 For second or subsequent suspension of action (37 CFR 1.103, MPEP 709) |
| 607 To make special for reasons not provided for in codes 601-606 (37 CFR 1.102, MPEP 708.02) | 625 To reinstate Appeals dismissed in Group |
| 608 To reopen prosecution after Board decision (37 CFR 1.198) | 626 From denial of reexamination request (37 CFR 515(c)) |
| 609 For review of final restriction requirement (37 CFR 1.144) | 627 To enter an amendment after payment of Issue Fee (37 CFR 1.312(b)) |
| 610 Invoking Authority of Commissioner under 37 CFR 1.181 not specified in codes | 628 From refusal to issue a Certificate of Correction (37 CFR 1.181, MPEP 1480-1485) |
| 611 Relating to the prematurity of final rejection (37 CFR 1.181, MPEP 706.07(c)) | 629 For withdrawal of attorney from application pending in group (37 CFR 1.36) |
| 612 Relating to the refusal to enter an amendment (37 CFR 1.181 & 1.127, MPEP 714.19) | 630 For extension of time in a reexamination (37 CFR 1.550(c)) |
| 613 To withdraw a holding of abandonment (37 CFR 1.137, MPEP 711.03) | 631 To merge multiple reexamination proceedings (37 CFR 1.565(c), MPEP 2283) |
| 614 Relating to a requirement to cancel new matter from application (37 CFR 1.181, MPEP 608.04(c)) | 632 To effect a second conversion of inventorship (37 CFR 1.48, MPEP 201.03) |
| 615 Relating to formal sufficiency/propriety of affidavits (37 CFR 1.131, 1.132, 1.608, MPEP 715.07) | 633 Superconductivity |
| 616 To institute an interference (37 CFR 1.606) | 634 To correct inventorship in a patent not in interference (37 CFR 1.324, MPEP 1481) |
| 617 Relating to refusal to enter an amendment under 37 CFR 1.312 | 635 To change inventorship in an application (37 CFR 1.48) |
| | 636 To change inventorship in a patent (37 CFR 1.48) |
| | 637 To withdraw from Issue before payment of an Issue fee (37 CFR 1.313(a)) |
| | 699 For matters before Group Director - not specified |

☐ PETITIONS DECIDED BY BOARD OF PATENT APPEALS AND INTERFERENCES

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| 701 To exercise supervisory authority re action by examiner/examiner-in-chief (37 CFR 1.644) | 711 To assign particular members to hearing or to request augmented panel (35 USC 7) |
| 702 To accept belatedly filed copies of interference settlement agreements (35 USC 135(c), 37 CFR 1.666(c)) | 712 To decide miscellaneous questions in proceedings under 37 CFR 1.601-1.688 |
| 703 For withdrawal of attorney in proceeding under 37 CFR 1.201 - 1.288 (37 CFR 1.36) | 713 To accept priority papers in applications in interference (37 CFR 1.644) |
| 704 For access to a settlement agreement under 35 USC 135(c) (37 CFR 1.666(b)) | 714 To reinstate an Appeal |
| 705 For access to an application in proceedings before the Board (37 CFR 1.14(e)) | 799 For matters before Chairman of Board - not specified |
| 706 From a refusal to issue a Certificate of Correction (37 CFR 1.322, 1.323) | 801 To make an application before the Board special (37 CFR 1.102) |
| 707 To correct errors in inventorship (37 CFR 1.324) | 802 To reinstate an Appeal |
| 708 For extension of time to file amendment under 37 CFR 1.196(b) (37 CFR 1.136) | 803 To extend time/suspend proceedings (37 CFR 1.196, 1.197, 1.304) |
| 709 To make an application before the Board special (37 CFR 1.102) | 804 For extension of time to file supplemental Reply Brief (37 CFR 1.136) |
| 710 For extension of time to file supplemental Reply Brief (37 CFR 1.136) | 805 To accept late request for an Oral Hearing (37 CFR 1.136) |
| | 899 For matters before the Clerk of the Board - not specified |

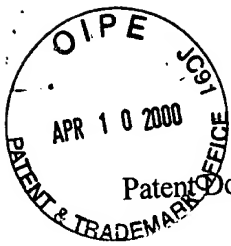
☐ PETITIONS DECIDED BY SPECIAL LAWS (SECURITY AND GOVERNMENT INTEREST MATTERS)

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| 901 Under 42 USC 2182 | 904 Under 35 USC 267 |
| 902 Under 42 USC 2457 | 905 To consider/review security or Government interest matters - not specified |
| 903 Under 35 USC 184 | |

☐ PETITIONS DECIDED BY THE SOLICITOR

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|---|---|
| 951 Petitions for extension of time in court matters 35 USC 142, 145, 146 | 953 Requests filed under the Freedom of Information Act |
| 952 Petitions relating to ex parte questions in cases before the Court of Appeals for the Federal Circuit | 959 Not specified |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Patent Docket No.: UUN 99-006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

STONE et al.

Serial No.: 09/469,505

Filed: 12/22/99

For: AN OVERLAY NETWORK FOR
TRACKING DENIAL-OF-SERVICE FLOODS
IN UNRELIABLE DATAGRAM DELIVERY
NETWORKS

Group Art Unit: 2787

Examiner: unassigned

Assistant Commissioner
for Patents
Box DAC
Washington, D.C. 20231

**PETITION UNDER 37 C.F.R. §1.47(a) AND RESPONSE TO "NOTICE OF
MISSING PARTS OF APPLICATION FILING DATE GRANTED"**

Hon. Assistant Commissioner
of Patents and Trademarks
Washington, D.C. 20231

Sir:

In response to the "NOTICE OF MISSING PARTS OF APPLICATION FILING
DATE GRANTED" mailed on February 7, 2000 by the Customer Service Center - Initial
Patent Examination Division, Stone et al. submit the following petition under the
provisions of 37 C.F.R. § 1.47(a).

04/13/2000 KZWDIE 00000095 132491 09469505
02 FC:122 130.00 CH

#3

In an attempt to have Mr. Matthew J. Sibley execute the Declaration, the undersigned had an assistant, Ms. Trafton, email co-inventor Robert J. Stone. Mr. Stone forwarded the email to Mr. Sibley, who responded by email to Ms. Trafton with his contact information. See ATTACHMENT A, Sibley Email to Trafton, January 4, 2000.

The undersigned then requested Mr. Sibley to execute the Declaration to the subject '505 patent application naming Mr. Sibley as a co-inventor together with Mr. Robert J. Stone. See ATTACHMENT B, Trafton Fax to Sibley, January 5, 2000; and ATTACHMENT C, Trafton Fax to Sibley, March 22, 2000.

The undersigned personally spoke to Mr. Matthew J. Sibley, and received positive notification that Mr. Sibley was in receipt of the Declaration/Power of Attorney and Assignment, had reviewed and approved the finalized patent application filed December 22, 1999. Reference of the telephone conversation taking place between the undersigned and Mr. Sibley on March 22, 2000 is made in an email to Ms. Carolyn McRae. See ATTACHMENT D, Roberts Email to McRae, March 22, 2000.

The undersigned personally emailed Mr. Matthew J. Sibley to request the cooperation he promised during the March 22nd telephone call. See ATTACHMENT E, Roberts Email to Sibley, March 27, 2000.

The undersigned personally left a follow-up voicemail message on April 5, 2000 at the telephone number identified by Mr. Sibley as his preferred contact number 703-363-4693. See ATTACHMENT A, Sibley Email to Trafton, January 4, 2000 referenced above.

#1

To date, no evidence of any action has been received by the undersigned in attempting to acquire Mr. Sibley's signature on the above Declaration.

By the above communication exchanges, and based upon information and belief, the undersigned has first-hand knowledge that Mr. Sibley has received a copy of the application and Declaration, yet has repeatedly failed to cooperate as promised in executing the above Declaration.

The Declaration is acceptable under the provisions of 37 C.F.R. § 1.63, subject only to Mr. Sibley's execution of this document. It specifically states that Mr. Sibley, together with Mr. Robert J. Stone, are the joint applicants (or co-inventors) of the subject invention of the '505 patent application.

Based upon information and belief, the above information reflects the undersigned's information and knowledge as to the last known address, residence, and the citizenship of Matthew J. Sibley. See ATTACHMENT A, Sibley Email to Trafton, January 4, 2000 referenced above.

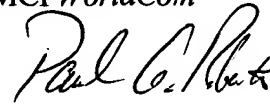
A petition fee of \$130.00 (or surcharge of \$130.00) is authorized by this paper to be charged to Deposit Account 13-2491.

In view of the fact that joint applicant and co-inventor Sibley has a copy of the application and Declaration and has clearly failed to sign the Declaration, that the Declaration is an acceptable Declaration under 37 C.F.R. §1.63, that the appropriate petition fee accompanies this Petition, and that Mr. Sibley's last known address has been provided, the granting of this Petition is respectfully requested.

#31

Respectfully submitted,

MCI WorldCom



Paul A. Roberts
Registration No. 40,289

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